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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/022,058	12/13/2001	Mingxian Huang	ART-00106.P.1.1	5669

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EXAMINER

LAM, ANN Y

ART UNIT PAPER NUMBER

1641

DATE MAILED: 06/14/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Applicati n N .	Applicant(s)	
	10/022,058	HUANG ET AL.	
	Examin r	Art Unit	
	Ann Y. Lam	1641	

-- The MAILING DATE of this communication appears n the cover sheet with the corres pondence address --

Peri d for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 29 March 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-35 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-35 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Objections

Claims 21 and 30 are objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim.

Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. Claims 21 and 30 recite limitations that appear to already be recited in claim 1 and do not recite any other limitations.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pourahmadi et al., 6,440,725, in view of Burdon et al., 6,572,830.

Pourahmadi et al. discloses the invention substantially as claimed. More specifically, as to claim 1, Pourahmadi et al. discloses a platform comprising:

a surface (i.e., surfaces of chamber 26, e.g., 22 or 24, see col. 24, lines 57-58) (or alternatively, 88);

a coating film (i.e., coating of a substance, such as polymers, having high binding affinity with a target analyte, col. 24, lines 59-63);

a channel structure (26, see fig. 6, and col. 24, line 58);

wherein said coating film defines in part said channel structure (26, see fig. 6, and col. 24, line 58;

wherein said platform comprises a microchip (col. 2, lines 58-63).

Pourahmadi et al. teaches that the internal surfaces of chamber walls may be coated with a substance such as polymers having a high binding affinity with the target analyte (col. 24, lines 57-63). However, Pourahmadi et al. does not teach that the coating comprises a particulate particle that are wholly embedded or partially embedded within the material of the coating film.

Burdon et al. however this limitation by teaching a microfluidic device with fluid passageways, wherein the microfluidic device is formed by sheet layers that include particles such as ceramic particles, glass particles and glass-ceramic particles (col. 3, lines 19-20). Burdon et al. also teach that the sheet may additionally include additives such as plasticizers and surfactants (col. 7, lines 1-3). Burdon et al. teach that the sheet layers may be advantageously provided with different properties, and may include glass particles, so as to provide an optically transmissive layer allowing external optical access to portions of the fluid passageways in the device (col. 3, lines 44-51). It would have been obvious to one of ordinary skill in the art at the time the invention was made

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to form the Pourhmadi et al. wall channels from a sheet layer with plasticizers and glass particles as taught by Burdon et al. because Burdon et al. teach that the different properties of the materials advantageous provide different properties and that the glass particles provide the benefit of allowing external optical access to portions of the fluid passageways in the device, as would be desirable for use of the microfluidic device in an assay.

As to the following claims, Pourahmadi et al. teach the limitations as follows.

As to claim 2, the surface (e.g., 22 or 24) comprise at least in part glass or polymer (col. 22, line 53).

As to claim 3, the surface comprises an acoustic element (i.e., ultrasonic transducer, 88, col. 33, lines 57-58.)

As to claim 6, the coating film comprises a polymer (col. 24, line 61.)

As to claim 7, the coating film comprises a hydrophobic polymer or a hydrophilic polymer (col. 24, line 62).

As to claim 8, the coating film comprises polysaccharides (col. 11, line 9.) The Office notes that binding entities are not claimed in claim 8. Thus, the polysaccharides in column 11, line 9 are considered part of the coating film.

As to claim 9, the coating film is biocompatible (col. 24, lines 59-60).

As to claim 12, the coating film comprises at least in part a biological group (e.g., nucleic acid, col. 25, lines 21-22).

As to claim 13, the biological group is a nucleic acid (col. 25, lines 21-22).

As to claim 14, the biological group (i.e., nucleic acid, col. 25, lines 21-22) is capable of interacting with a biological moiety or chemical moiety by electrostatic interactions, ionic interactions, hydrogen bonding or hydrophobic interactions (col. 25, lines 21-22).

As to claim 15, the biological group interacts with a biological moiety by nucleic acid-nucleic acid interactions (col. 25, lines 21-22).

As to claims 16 and 20, the biological group is present substantially throughout said coating film or on the surface of said coating film (col. 25, lines 21-22).

As to claim 17, the coating film comprises at least in part a chemical group (col. 25, lines 21-22).

As to claim 18, the chemical group comprises at least in part an alkyl group, a charged group, or small molecules or combinations thereof (col. 25, lines 21-22.)

As to claim 19, the chemical group (i.e., nucleic acid, col. 25, lines 21-22, or alternatively, antibody, see col. 17, line 39) is capable of interacting with a chemical moiety or biological moiety by electrostatic interactions, ionic interactions, hydrogen bonding, hydrophobic interactions or covalent linking.

As to claim 28, the channel structure (26) comprise open channels or closed channels (see fig. 6.)

As to claim 29, at least a portion of said channel structure (26) is defined by said surface (22, see fig. 6).

As to claim 30, at least a portion of said channel structure is defined by said coating film (col. 24, lines 58-60).

As to claim 32, the channel structures (26) form at least one island (see fig. 6).

As to claim 33, said channel structure (26) has a shape in cross section that is substantially rectangular (see fig. 6.)

As to claim 34, the channel structure is linear (see fig. 6.)

As to claim 35, the device further comprises an acoustic element (i.e., ultrasonic transducer, 88, col. 33, lines 57-58), or magnetic element (i.e., magnetic beads (col. 18, line 43.)

As to the following claims, Burdon et al. teach the limitations as follows.

As to claim 23, the particles comprise a polymer (i.e., the plasticizers, col. 7, lines 2-3.)

As to claim 25, the particles are biocompatible (col. 3, lines 13-18).)

As to claims 26 and 27, while Burdon et al. do not teach that the particles comprise at least in part a biological group or chemical group, Pourhmadi et al. teach that the coating film comprises at least in part a biological group (e.g., nucleic acid, col. 25, lines 21-22). It would have been obvious to one of ordinary skill in the art at the time the invention was made that in modifying the coating film of Pourhmadi et al. as taught by Burdon et al., the film, including the particles, will comprises in part the biological or chemical group taught by Pourhmadi et al.

As to claim 31, the channel structure can be formed by selective polymerization of the coating film.

Also, neither Pourahmadi et al. nor Burdon et al. disclose the dimension of the surface length or width or thickness as claimed by Applicant (in claims 4, 5, 10 and 11),

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nor that the particles comprise between about 0.1% and about 99.9 % volume of the polymer coating (claim 22), nor the size of the particle as claimed by Applicant.

However, it has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art. *In re Aller*, 105 USPQ 233. In this case, Pourahmadi et al. in view of Burdon et al. disclose the general conditions of the claim, and the ranges in dimensions as claimed by Applicant are optimum or workable ranges and thus involve only routine skill in the art according to *In re Aller*.

Response to Arguments

Applicant's arguments filed March 29, 2006 have been considered but are moot in view of the new grounds of rejections.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the

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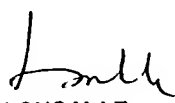
shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ann Y. Lam whose telephone number is 571-272-0822. The examiner can normally be reached on Mon.-Fri. 10-6:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Long Le can be reached on 571-272-0823. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

A.L.  6/11/06


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